

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination
K. Matsumoto et al. : Division
Serial No.: 09/996,836 : Box Missing Parts
Confirmation No. 2578 : Date: February 14, 2002
Filed: November 29, 2001 : Docket No.: YOR920000819US2



For: THREE LEVEL STACKED REFLECTIVE DISPLAY

COPY OF PAPER
ORIGINALLY FILED

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Supplemental Response to Notice to File Corrected Application Papers with 2 Substitute Formal Drawing (sheets 21 and 22 of 25 attached; and
3. Copy of Notice to File Missing Parts of Nonprovisional Application

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231

on February 14, 2002

Michelle Parra

(Print name of person certifying)

Michelle Parra

(Signature of person certifying)

Docket YOR920000819US2

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Sir:

On February 13, 2002, a set of twenty five (25) sheets of the Formal Drawing was mailed to the Patent and Trademark Office along with a Notice to File Corrected Application Papers.

A subsequent review of the Formal Drawing with one of the inventors indicated that sheets 21 and 22 contained more than one Figure and that each Figure should be separately numbered to comply with 37 CFR 1.84(u)(1).

Enclosed is a substitute sheet 21 containing renumbered Figures 10D and 10DD in place of Figure 10D alone.

Enclosed is substitute sheet 22 containing renumbered Figures 10E and 10EE in place of Figure 10E alone.

A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" is attached as per your instructions.

Respectfully submitted,
Attorney for the Applicants

by Robert M. Trepp
Attorney: Robert M. Trepp
Reg. No. 25,933
Tel. (914) 945-3147

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218
Yorktown Heights, N.Y. 10598

Attorney Docket: YOR920000819US2



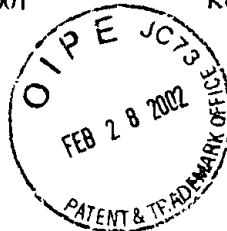
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,836	11/29/2001	Keiji Matsumoto	YOR920000819US2

RMT

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598



CONFIRMATION NO. 2578

FORMALITIES LETTER



OC000000007198015

Date Mailed: 12/14/2001

Due 2/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

MTS

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Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY